ENDORSED FILED ALAMEDA COUNTY

OCT 1 6 2020

By ______Deputy

SUPERIOR COURT OF CALIFORNIA

COUNTY OF ALAMEDA, NORTHERN DIVISION

(Unlimited Jurisdiction)

WILLIAM LOYD HELMICK, SHANE WILLIAMS, MATTHEW A. POORE, and TIMOTHY J. ALLISON, individually and on behalf of all those similarly situated,

Plaintiffs, vs.

AIR METHODS CORPORATION, and DOES 1 - 100, inclusive,

Defendants.

Case No. RG 13665373

Assigned for all purposes to Department 21 (Hon. Winifred Y. Smith)

CLASS ACTION

ORDER GRANTING MOTION FOR FINAL APPROVAL OF CLASS ACTION SETTLEMENT

DATE: October 14, 2020

TIME: 9:00 a.m.

DEPT: 21

(Reservation number: 2189091)

COMPLAINT FILED: January 30, 2013

TRIAL: July 8, 2019

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Upon consideration of the *Motion for Final Approval of Class Action Settlement* (the "Motion") filed by Plaintiffs William Loyd Helmick, Shane Williams, Matthew A. Poore, and Timothy J. Allison in the above-captioned case seeking final Court approval of the parties' settlement of this action (the "Settlement") on the terms set forth in the Agreement for Settlement of Class and PAGA Claims (the "Settlement Agreement"), 1 as modified herein, and the declarations filed in support thereof, and having reviewed and considered the terms and conditions of the proposed Settlement as set forth in the Settlement Agreement, and the terms of which are incorporated in this Order; and no opposition to the Motion's having been submitted; and the Court's having jurisdiction to consider the Motion and the relief requested therein, and venue being proper before the Court; and due and proper notice of the Motion having been provided; and upon the hearing on the Motion and after due deliberation, and good and sufficient cause appearing therefor;

IT IS HERBY ORDERED:

Final Approval of Class Action Settlement

- 1. Capitalized terms used in this Order that are not otherwise identified herein have the meaning assigned to them in the Settlement Agreement.
- 2. Pursuant to CRC 3.769, the Court grants and orders final approval of the terms set forth in the Settlement, except as modified herein. The Court finds that the terms of the Settlement are fair, adequate, and reasonable, and to have been the product of serious, informed, and extensive arm's-length negotiations among the Parties. In making this finding, the Court considers the nature of the claims, the relative strength of Plaintiffs' claims, the amounts and kinds of benefits paid in settlement, the allocation of settlement proceeds, and the fact that a settlement represents a compromise of the Parties' respective positions rather than the result of a finding of liability at trial.
- 3. Pursuant to California Labor Code Section 2699(e)(2), the Court also orders approved the portion of the Settlement involving claims under the Private Attorneys General Act of 2004 ("PAGA"), finding that the Settlement is fair, reasonable, and adequate.
 - 4. Specifically, the Court orders approved in full the Settlement Agreement, as modified

¹A copy of the Settlement Agreement was submitted as Exhibit 1 to the Sitkin Declaration submitted in support of the Plaintiffs' motion for final approval.

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herein. The Parties are ordered to comply with and implement the Settlement Agreement according to its terms, including those provisions not expressly stated in this Order and as modified herein.

The Court retains continuing jurisdiction to enforce this Settlement pursuant to California 5. Rule of Court 3.769(h), even after the entry of judgment based thereon. Without affecting the finality of the Settlement or Judgment entered, this Court shall retain exclusive and continuing jurisdiction over the action and the Parties, including all Settlement Class Members, for purposes of enforcing and interpreting this Order and the Settlement.

Final Certification of Settlement Class

- 6. The Court finds, for the purpose of Settlement, that the proposed Settlement Class meets the criteria for certification under California Code of Civil Procedure Section 382. The Court hereby orders confirmed class certification pursuant to California Code of Civil Procedure Section 382 of the following class: "all former or current Flight Crew, also known as Medical Crew, Medical Flight Crew, and including Flight Nurses (of all levels including but not limited to, Float Nurses), Flight Paramedics (of all levels including, but not limited to, Float Paramedics), Base Supervisors, Clinical Base Supervisors, Medical Base Supervisors, Clinical Base Leads, Clinical Base Educators, and Clinical Leads (collectively "Flight Crew"), whom AMC employed in California at any time on or after January 30, 2009 until June 29, 2020. This Settlement Class consists of those persons within the class certified in the Helmick Action by Order, entered November 24, 2015, the partial settlement class for which the Court granted final approval on June 1, 2018, those persons whom Named Plaintiffs have claimed in the Action that they should be permitted to represent pursuant to PAGA during the Class Period, and those persons within the putative class alleged in the Lyons Action." Excluded from the Settlement Class are Thomas Easter, Robert Nieblas, and Jonathan Carroll who earlier agreed to be removed from this case in exchange for not being deposed in this litigation and William Hinton.
- For purposes of the Settlement, the Court orders confirmed the appointment of Class 7. Counsel (James M. Sitkin, Law Offices of James M. Sitkin, Joshua Konecky, Schneider Wallace Cottrell, Konecky LLP) and further orders confirmed the appointment of the Named Plaintiffs as Class Representatives.

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Class Notice

- The Court finds that the Class Notice was given to the Settlement Class as required by the 8. Preliminary Approval Order, as modified by the Stipulation re Class Notice and Future Resolution of Dispute Over Increased Settlement Payment, filed August 21, 2020, and the Court's Order approving same, and that it fairly and adequately described the litigation, the Settlement, how they could claim their share of the Settlement, how they could object or exclude themselves from the Settlement, and how they could dispute information on which individual settlement payments were calculated. The Court further finds that the Class Notice was the best notice practicable under the circumstances, and complied with due process, the California Rules of Court, and all other applicable laws. The Court also finds and concludes that the Settlement Class was given a full and fair opportunity to participate in the Final Approval Hearing.
 - 9. The Court finds that no class member has objected to the settlement.
- 10. The Court finds that the Claims Administrator received and resolved disputes submitted by Barbara J. Vonarx, Margit Bryant, Joaquin Nunez, Ryan Creager, and Joshua Price, who submitted disputes concerning the individual information set forth in his or her Class Notice on which his or her settlement payment was calculated.
- The Court finds that no class member has requested to opt out of the settlement, including 11. that the three Class members who submitted opt out requests retracted them.

Release of Claims

Upon the Effective Date of the Settlement Agreement and Defendant's completion of 12. performance of its duties under the Settlement Agreement, in exchange for the consideration recited in this Settlement, Named Plaintiffs and all Eligible Class Members on behalf of themselves and on behalf of all who claim by or through them or in their stead, do hereby and forever release, acquit and discharge and covenant not to sue Defendant and its respective attorneys, past, present and future divisions, affiliates, predecessors, successors, shareholders, officers, directors, employees, agents, trustees, representatives, administrators, fiduciaries, assigns, subrogees, executors, partners, parents, subsidiaries, joint employers, insurers, related corporations, and privies, both individually and

collectively, and any individual or entity which could be jointly liable with Defendant (referred to as the "Released Parties") for any and all class and PAGA claims during the Class Period now pleaded or that could be pleaded based on the facts alleged in the Revised Second Complaint in the Helmick Action or in the Lyons Action for: 1) failure to pay overtime pay and interest related thereto); 2) premium pay for failure to provide meal periods and interest related thereto; 3) premium pay for failure to provide rest periods and interest related thereto; 4) failure to provide itemized wage statements; 5) failure to pay all wages at the time of termination; 6) off the clock work; 7) failure to maintain adequate payroll records; 8) PAGA penalties in connection with any of the foregoing; and 9) any relief related thereto or any claims now pleaded or that could be pleaded based on the facts alleged in the Lyons Complaint in the Lyons Action. This release extends to claims for violations, including, but not limited to, of the following statutes and regulations: California Labor Code Sections: 201, 203, 204, 225.5, 226, 226.3, 226.7, 432.5, 510, 512, 558, 1174; California Business & Professions Code Section 17200 et seq.; Wage Order 9-2001 of the California Industrial Welfare Commission, 8 Cal. Regs. 11090, ¶¶s 3, 7(B), 11, and 12, and comparable paragraphs of other applicable Wage Orders, to the extent such claims were pleaded or could have been pleaded based on the facts alleged in the Revised Second Amended Complaint in the Helmick Action or the complaint in the Lyons Action. Included in this Release are any claims for fees and costs by Class Counsel arising out of the Helmick Action, the Lyons Action, the 2018 Partial Settlement Agreement. or the resolution of Plaintiffs Helmick and Williams' retaliation claims previously released.

Payments and Distributions

Defendant's payments hereunder shall be in three deposits, except as otherwise provided 13. below, the first of no less than forty million dollars (\$40,000,000) and the second and third each of no less than nineteen million dollars (\$19,000,000). In addition to said amounts, each deposit shall include such additional funds as necessary to pay Class Members not identified in Exhibit A or Exhibit A-1 as set forth in paragraph 74(d) of the Settlement Agreement, interest as set forth in the Settlement Agreement, Defendant's taxes (including payroll taxes), withholdings, and contributions, and Court approved costs of settlement administration up to a maximum of \$25,000 plus settlement

administration costs involved with Defendant's early payment(s) resulting in more than three distributions, all as requested by the Class Administrator. Within seven (7) days of entry of the Final Approval Order or such other date as the Court orders, Defendant forthwith shall deposit with the Class Administrator funds that are reasonable and necessary to pay for Class Administration Costs.

- 14. The entirety of the then unpaid balance of the Court-approved reimbursement to Class Counsel of out-of-pocket costs and expenses (see paragraph 86 of the Settlement Agreement) shall be paid from each deposit of the Gross Settlement Amount, with the balance of the deposited Gross Settlement Amount being distributed to Eligible Class Members, the LWDA, Class Counsel (for fees), the Named Plaintiffs and Lyons Plaintiffs in proportion to their shares in the Net Settlement Fund as described in paragraph 81 of the Settlement Agreement, except that a) \$1,424,615.21 of fees, representing one-third of the \$4,273,845,63 already paid to the Class and the LWDA in the 2018 partial settlement, shall be paid from AMC's first installment payment and b) the last one million dollars (\$1,000,000) of Class Counsel's fee shall be retained from Defendant's last installment payment and not distributed to Class Counsel until the Court approves the final disbursements to the Class. The Class Administrator is ordered to cooperate with Class Counsel in the prompt presentation of a report on the final distribution to the Class.
- Within no later than seven (7) days after entry of the Final Approval, even if before the 15. Effective Date, Defendant shall deposit with the Class Administrator into the QSF fund the first of the three deposits. Within five (5) days after the Effective Date or five (5) days after Defendant's deposit of the first of three installments, whichever is later, the Class Administrator shall distribute that part of the first deposit to be paid to Eligible Class Members, the LWDA, Class Counsel, the Named Plaintiffs, and the Lyons Plaintiffs as provided in paragraphs 81, 86, and 87 of the Settlement Agreement. Defendant shall deposit the second of Defendant's three installments into the QSF fund no later than the earlier of one year after the first installment is due or October 1, 2021, and the third of three installments no later than the earlier of one year after the second installment is due or March 11, 2022; provided, however, that if prior to March 1, 2022, Defendant's existing revolving credit facility in the amount of \$125 million is extended or refinanced or replaced, pursuant to written

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agreement with a revolving credit facility such that the termination date or final maturity of such facility is no earlier than one year from its current due date, as of the day this Agreement is fully executed (currently April 2022), then the deadline for the third installment shall be extended to the earlier of nine months from when the third installment would otherwise be due under this paragraph paid or December 2 2022. Within five (5) days after each said deposit, the Class Administrator will distribute that part of the deposit constituting the Net Settlement Fund as provided above.

- The Class Administrator shall promptly notify Class Counsel and Defendant's Counsel by 16. email and U.S. mail that each such disbursement has been made and, no later than seven (7) days after each distribution of Class Member Shares provide a Class Administrator Declaration confirming such. On the Class Administrator's request, but no earlier than Final Approval of this Agreement, Defendant forthwith shall deposit with the Class Administrator into the QSF such funds as the Class Administrator determines are necessary for the Class Administrator to pay all Defendant's taxes (including but not limited to payroll taxes) and its own share of withholdings, fees, deductions, contributions and other amounts to be paid to government agencies and/or tax authorities as provided herein.
- Notwithstanding the forgoing, AMC's payment/deposit schedule is subject to acceleration 17. as provided in the Settlement Agreement.
- Notwithstanding the forgoing, Defendant without penalty may pay early amounts owed as 18. part of its deposits. As long as the amount of the early payment is at least five million dollars (\$5,000,000) or the balance owed for the next of the three deposits, whichever is less, the Class Administrator within five (5) days shall distribute that part corresponding to the Gross Settlement Amount as provided in paragraph 90 and Defendant's said deposit shall cease the further accrual of interest owed by Defendant as to the amount deposited. However, if the amount deposited is less than five million dollars (\$5,000,000) and also less than the balance owed for the next of the three deposits, the Class Administrator shall hold said amounts until Defendant's deposits surpass that threshold and the amounts so held by the Class Administrator will continue to accrue interest owed by Defendant as if they had not been deposited with the Class Administrator. A partial repayment shall not relieve

8. [PROPOSED] FINAL APPROVAL ORDER - Case No. RG 13665373

Defendant of making the balance of the payment of the next deposit owed on time. As part of Defendant's responsibility for costs of settlement administration, Defendant shall be responsible for all reasonable costs of settlement administration relating to increase in the number of deposits or distributions beyond the three outlined above, which additional costs shall be deposited into the QSF fund on request by the Class Administrator.

- 19. The Class Administrator is authorized and ordered to make all payments required to tax authorities within seven (7) days of the Effective Date of the Settlement.
- As provided in the Settlement Agreement, \P 82(e), the Class Administrator is further authorized and ordered to deposit with the Comptroller of the State of California any instruments of payment (such as checks) issued by the Class Administrator to Eligible Class Members which are not cashed or negotiated or re-issued within one hundred eighty (180) days from the date such instruments are issued. Any such deposits of unclaimed wages shall identify the Class Member entitled to the deposited funds. After all other payments are made, the Class Administrator shall pay any undisbursed funds to the *cy pres* beneficiary as provided in the Settlement Agreement, \P 82(f).

Compliance Hearing After Distribution to Eligible Class Members, LWDA, Class Counsel, Named Plaintiffs, and Lyons Plaintiffs

21. The Court will hold a compliance hearing after the completion of the distribution process and the expiration of the time to cash checks for counsel for Plaintiffs and the Administrator to comply with CCP § 384(b) and to submit a summary accounting how the funds have been distributed to the Class Members and the status of any unresolved issues. If the distribution is completed, the Court will at that time release any hold-back of attorney fees. No less than ten (10) days before the date scheduled for the compliance hearing, the Class Administrator is ordered to deliver a Class Administrator Declaration to Class Counsel and to Defendant's Counsel, which declaration shall detail the Class Administrator's performance of its responsibilities after entry of the Final Approval Order as the Settlement Agreement or Order of this Court describes. Said Class Administrator Declaration is ordered to be filed with the Court and served no less than five (5) dates before the compliance hearing.

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Permanent Injunction

- 22. The Court orders permanently enjoined Defendant and the Released Parties from retaliating against Class Members for participating in this Action, settlement, opting out of the settlement or objecting to the settlement.
- 23. Changes in Work Rules: The Court enters permanent injunction that, as of the Effective Date, permanently enjoins Defendant as follows with respect to Class Members or other Flight Crew whom it currently or in the future employs in California:
- AMC will provide meal and rest periods and pay premium wages for missed meal and rest periods as defined by California law. This is without prejudice to AMC's entry into on-duty meal period agreements or obtaining relief from the DLSE insofar as meal or rest period obligations, and without prejudice to whatever legal challenge, if any, that might be brought against such agreements or application for relief. The payment of meal period or rest period premium for a meal period that is not provided or a rest period that is not permitted or authorized, shall not be deemed a violation of the injunction.
- AMC will calculate the regular rate of pay for overtime purposes to include bonuses b. and stipends as required by California law. This permanent injunctive relief as to this subpart 'b' will become null and void during such period that Flight Crew are unionized and Defendant qualifies for the exemption under Wage Order 9-2001, § 1(E) (2001)
- AMC will treat all Flight Crew work as eligible for daily overtime under California C. law. All Flight Crew work hours of which AMC has notice will be counted to determine whether daily overtime will be paid and AMC will not rely on Wage Order 9-2001, § 3(K) to classify Flight Crew as exempt from daily overtime. The permanent injunctive relief as to this subparagraph 'c' will become null and void during such period that Flight Crew are unionized and Defendant qualifies for the exemption under Wage Order 9-2001, § 1(E) (2001).
- d. AMC will not reduce the base hourly pay of a Class Member below that currently paid insofar as he or she continues to occupy the position of a Flight Crew member employed by Defendant

in California. Newly hired California Flight Paramedics and California Flight Nurses will have a base hourly pay no less than the lowest base hourly pay of, respectively, California Flight Paramedics and California Flight Nurses as of May 12, 2020. Separately, the minimum base hourly rate for California Flight Paramedics or California Flight Nurses, in the permanent injunction as to this subparagraph (d), may be correspondingly reduced to the extent the lowest base hourly rate of pay paid to Flight Paramedics or Flight Nurses become more than 5% greater than the average hourly rate of pay paid to, respectively, Flight Paramedics or Flight Nurses California market as set forth in a report published by Mercer. Flight Nurse and Flight Paramedic pay shall be separately assessed for purposes of this adjustment to the minimum base hourly pay.

- AMC will maintain time and pay records in accordance with California law that e. accurately state daily and weekly overtime hours worked; applicable overtime rates; when meal periods were taken by Flight Crew; any premium wages paid to Flight Crew for missed meal or rest periods; and the rate of premium wage payments. AMC promptly shall produce such records, in accordance with California law, to Flight Crew or their representative on request without charge. Such records shall be retained for no less than four years.
- f. AMC will provide Flight Crew with itemized pay statements in accordance with California law that accurately state overtime hours worked, applicable overtime rates, the number of hours of meal and rest period premium pay paid, the hourly rate of premium wage payments and meal and rest period premium wages paid for Flight Crew. AMC shall retain for no less than four years copies of its itemized pay statements for Flight Crew and shall promptly produce such records to Flight Crew or their representative on request without charge.

Entry of Judgment.

24. Judgment is ordered entered on the claims settled under the partial settlement consistent with the Final Approval Order for that settlement, entered June 1, 2018 and consistent with this Final Approval Order.

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Service Awards and Awards to Class Counsel

- 25. The Court finds and orders that the Named Plaintiffs are entitled to reasonable Service Awards to be paid from the Gross Settlement Amount for services as Class Representatives relating to the claims settled in the 2018 partial settlement and in this Settlement and in consideration of their entry into mutual general releases with Defendant in the following amounts before addition of interest as provided in the Settlement Agreement: thirty-eight thousand dollars (\$38,000) to Plaintiff Helmick, thirty-three thousand dollars (\$33,000) to Plaintiff Williams, and nineteen thousand dollars (\$19,000) each to Plaintiffs Allison and Poore. Said amounts also shall reimburse them for their out-of-pocket expenses incurred int this legal action and shall be paid from the Gross Settlement Amount.
- The Court finds and orders that Christopher R. Lyons and Amelia G. Vielguth, the plaintiffs 26. in the Lyons Action are entitled to be paid five hundred dollars (\$500) each, with additional interest as provided in the Settlement Agreement, from the Gross Settlement Amount as consideration for entry into mutual general releases with Defendant.
- The Court finds and orders that Class Counsel are entitled to award(s) to be paid by 27. Defendant of reasonable costs (statutory and non-statutory), and expenses relating to the claims settled in the 2018 partial settlement, the retaliation claims of Plaintiffs Helmick and Williams settled in 2019, and the claims settled in the Settlement Agreement in the amount of \$806,870.35, which shall be paid from the Gross Settlement Amount. The Court hereby incorporates herein its Order on Plaintiffs' fee and cost/expense reimbursement motion.
- 28. The Court finds and orders that Class Counsel are entitled to award(s) to be paid by Defendant of reasonable fees relating to the claims settled in the 2018 partial settlement, the retaliation claims of Plaintiffs Helmick and Williams settled in 2019, and the claims settled in the Settlement Agreement.in amount, before addition of interest, in the amount of \$1,424,615.21, representing onethird of the \$4,273,845,63 already paid to the Class and the LWDA in the 2018 partial settlement, plus one third of the Gross Settlement Amount that AMC must pay under the 2020 Settlement, including interest and as increased for AMC's failure to disclose Class members, which, too, shall be paid from the Gross Settlement Amount. The Court hereby incorporates herein its Order on Plaintiffs' fee and

1 cost/expense reimbursement motion. The Court retains jurisdiction over the permanent injunctions, including their 2 3 implementation and enforcement and providing monetary and any other appropriate relief for their 4 violation. 5 30. The Court retains continuing jurisdiction to enforce this Settlement pursuant to California Rule of Court 3.769(H), even after the entry of judgment based thereon. Without affecting the finality 6 of the Settlement or Judgment entered, this Court shall retain exclusive and continuing jurisdiction 7 over the action and the Parties, including all Settlement Class Members, for purposes of enforcing and 8 9 interpreting this Order and the Settlement. 10 FINAL APPROVAL ORDER 11 Defendant AMC's obligation to make the first installment payment under SA para 84 31. and 87 run from the entry of the Final Approval Order. The court will sign and file the Final Approval 12 13 Order on 10/16/10. 14 IT IS SO ORDERED. 15 16 17 Dated: October 16, 2020 18 The Honorable Winifred Y. Smith Judge of the Superior Court 19 20 21 22 23 24 25 26 27 28 [PROPOSED] FINAL APPROVAL ORDER - Case No. RG 13665373

SUPERIOR COURT OF CALIFORNIA COUNTY OF ALAMEDA

Case Number: RG13665373

Case Name: Helmick v. Air Methods Corporation

RE: ORDER GRANTING MOTION FOR FINAL APPROVAL OF CLASS ACTION

SETTLEMENT

CLERK'S CERTIFICATE OF SERVICE

I certify that I am not a party to this cause and that a true and correct copy of the foregoing document was mailed first class, postage prepaid, in a sealed envelope, and that the mailing of the foregoing and execution of this certificate occurred at 1225 Fallon Street, Oakland, California.

Executed: 10/16/2020

Ghalisa Castaneda Courtroom Clerk, Dept. 21

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